

**SUN LIFE GREPA FINANCIAL, INC.**

**AGENDA FOR THE REGULAR MEETING OF THE AUDIT  
and RISK MANAGEMENT COMMITTEE**

Date : 02 March 2023  
 Duration : 3:00 p.m. to 4:00 p.m.  
 Place : Zoom Video Conference

BUSINESS		RESPONSIBLE PARTY	ACTION REQUIRED	APPENDIX
1	Call to Order	Chairman		
2	Certification of Notice and Quorum	Corporate Secretary	Note	
3	Minutes of the Previous Meeting (06 December 2022)	Chairman	Approve	/
4	Matters Arising from the Previous Meeting: None	Chairman	Note	/
5	Presentation of the 2022 Audit Results and Management Letter	Ernst & Young	Note	
6	Audited Financial Statements for 2022	Ernst & Young/ Candy S. Esteban	Approve/ Endorse	/
7	Private Meeting of the Non-Executive Members of the Committee with the External Auditor	Chairman		
8	Financial Review Summary	Candy S. Esteban	Note	/
9	Report on Controls	Joel O. Bungabong	Note	/
10	Private Meeting of the Non-Executive Members of the Committee with the Internal Auditor	Chairman		
11	Forward Agenda	Corporate Secretary	Approve	/
12	Review of Audit and Risk Management Committee Charter	Corporate Secretary	Approve	/
13	Other Matters, if any	Chairman	Note	
13a	a. Executive Session (with RO Compliance)			
14	Adjournment	Chairman	Approve	

**Distribution List**

### Committee Members

- Jose Isidro N. Camacho, Independent Director, Committee Chairman
- Francisco C. Eizmendi, Jr., Independent Director
- Rizalina G. Mantaring, Director

### Attendees

- Helen Y. Dee, Chairman of the Board
- Richard S. Lim, President
- Michele Marie Y. Dee, Director
- Juan B. Santos, Independent Director
- Ingrid Johnson, Director
- Lorenzo V. Tan, Director
- Benedicto C. Sison, CEO & Country Head, Sun Life Philippines
- Candy S. Esteban, Treasurer
- SGV/ Ernst & Young, External Auditor
- Maria Sachiko A. Pang, Chief Financial Management Officer
- Abigail L. Viaje, Chief Actuary
- Maaike van Meer, Chief Compliance Officer, Asia
- Michelle C. Garcia, Chief Human Resources Officer
- Mark Shum, AVP, Lead Compliance Transformation and Governance, Asia
- Tom Murphy, EVP and Chief Risk Officer
- Ria V. Mercado, Chief Risk Officer
- Joel O. Bungabong, Internal Auditor
- Paolo Y. Abaya, GPLH Appointed Observer
- Gaurav Mishra, Chief Operations and Digital Enterprise Officer
- Pia Angelica Javier, Chief Technology Modernization Officer
- Jemilyn S. Camania, Chief Compliance Officer
- Samuel V. Torres, Corporate Secretary
- Anna Katrina C. Kabigting-Ibero, Assistant Corporate Secretary
- Edgar S. Tordesillas, General Counsel, Sun Life Philippines
- Hope Jan C. Candido, Counsel, Sun Life Philippines
- Maria Junifer L. Maliglig, Law Clerk, Sun Life Philippines

**SUN LIFE GREPA FINANCIAL, INC.**

**AGENDA FOR THE REGULAR MEETING OF THE AUDIT AND  
RISK MANAGEMENT COMMITTEE**

Date : 16 May 2023  
Duration : 2:30 p.m. – 3:45 p.m.  
Place : Zoom Meeting Conference

BUSINESS		RESPONSIBLE PARTY	ACTION REQUIRED	APPENDIX
1	Call to Order	Chairman		
2	Certification of Notice and Quorum	Corporate Secretary	Note	
3	Minutes of the Previous Meeting (02 March 2023)	Chairman	Approve	/
4	Matters Arising from the Previous Meeting: None	Chairman	Note	/
5	Key Risks Update	Ria V. Mercado	Note	/
6	2023 Key Risks Report	Ria V. Mercado	Note	/
7	Compliance Report	Maria Pia A. Urgello	Note	/
8	2023 Compliance Plan	Maria Pia A. Urgello	Approve	/
9	Private Meeting Between the Committee and the Interim Compliance Officer	Chairman		
10	Private Meeting Between the Committee and the Risk Officer	Chairman		
11	Forward Agenda	Corporate Secretary	Note	/
12	Other Matters, if any	Chairman	Note	
13	Adjournment	Chairman	Approve	

**Distribution List**

**Committee Members**

- Jose Isidro N. Camacho, Independent Director, Committee Chairman
- Francisco C. Eizmendi, Jr., Independent Director
- Rizalina G. Mantaring, Director

**Attendees**

- Helen Y. Dee, Chairman of the Board
- Richard S. Lim, President
- Michele Marie Y. Dee, Director

- Juan B. Santos, Independent Director
- Ingrid Gail Johnson, Director
- Lorenzo V. Tan, Director
- Benedicto C. Sison, Country Head & CEO, Sun Life Philippines
- Candy S. Esteban, Treasurer
- Maria Sachiko A. Pang, Chief Financial Management Officer
- Maria Josefina A. Castillo, Chief Operating Officer
- Abigail L. Viaje, Chief Actuary
- Maria Pia A. Urgello, Interim Compliance Officer
- Mark Shum, AVP, Lead Compliance Transformation and Governance, Asia
- Ria V. Mercado, Chief Risk Officer
- Joel O. Bungabong, Internal Auditor
- Paolo Y. Abaya, GPLH Appointed Observer
- Gaurav Mishra, Chief Operations and Digital Enterprise Officer
- Pia Angelica Javier, Chief Technology Modernization Officer
- Samuel V. Torres, Corporate Secretary
- Anna Katrina C. Kabigting-Ibero, Assistant Corporate Secretary
- Edgar S. Tordesillas, General Counsel, Sun Life Philippines
- Hope Jan C. Candido, Counsel, Sun Life Philippines
- Maria Junifer L. Maliglig, Law Clerk, Sun Life Philippines

## SUN LIFE GREPA FINANCIAL, INC.

### AGENDA FOR THE REGULAR MEETING OF THE AUDIT and RISK MANAGEMENT COMMITTEE

Date : 06 June 2023  
 Duration : 2:30 p.m. – 4:00 p.m.  
 Place : Legazpi Room, 10<sup>th</sup> Floor, Grepalife Building, 221 Sen. Gil J. Puyat Ave., Makati City

	BUSINESS	RESPONSIBLE PARTY	ACTION REQUIRED	APPENDIX
1	Call to Order	Chairman		
2	Certification of Notice and Quorum	Corporate Secretary	Note	
3	Minutes of the Previous Meeting (16 May 2023)	Chairman	Approve	/
4	Matters Arising from the Previous Meeting: None	Chairman	Note	/
5	Financial Review Summary	Candy S. Esteban	Note	/
6	Review of External Audit Relationship <i>(including review of External Audit Fees – indicative amount)</i>	Candy S. Esteban	Approve/ Endorse	
7	Report on Controls	Joel O. Bungabong	Note	/
8	Key Risks Update	Ria V. Mercado	Note	/
9	Compliance Report	Maria Pia A. Urgello	Note	/
10	Private Meeting Between the Committee and the Interim Compliance Officer	Chairman	Note	
11	Private Meeting Between the Committee and the Risk Officer	Chairman	Note	
12	Private Meeting of the Non-Executive Members of the Committee with the Internal Auditor	Chairman	Note	
13	Forward Agenda	Corporate Secretary	Note	/
14	Other Matters, if any	Corporate Secretary	Note	
15	Adjournment	Chairman	Approve	

#### Distribution List

#### Committee Members

- Jose Isidro N. Camacho, Independent Director, Committee Chairman
- Francisco C. Eizmendi, Jr., Independent Director
- Rizalina G. Mantaring, Director

Attendees:

- Helen Y. Dee, Chairman and Director
- Richard S. Lim, President
- Michele Marie Y. Dee, Director
- Juan B. Santos, Independent Director
- Ingrid Gail Johnson, Director
- Lorenzo V. Tan, Director
- Benedicto C. Sison, Country Head & CEO, Sun Life Philippines
- Maria Josefina A. Castillo, Chief Operating Officer
- Candy S. Esteban, Treasurer
- Ria V. Mercado, Risk Officer
- Maria Pia A. Urgello, Interim Compliance Officer
- Mark Shaum, AVP, Lead Compliance Transformation & Governance, Sun Life Asia
- Maaiké Van Meer, Chief Compliance Officer, Sun Life Asia
- Anne Sonnen, SVP and Chief Compliance Officer, SLF
- Joel P. Bungabong, Internal Auditor
- Abigail L. Viaje, Chief Actuary
- Gaurav Mishra, Chief Operations & Digital Enterprise Officer
- Pia Angelica Javier, Head, Chief Technology Modernization Officer
- Maria Sachiko A. Pang, Chief Financial Management Officer
- Ivan P. Corcuera, Head of Investments
- Samuel V. Torres, Corporate Secretary
- Anna Katrina C. Kabigting-Ibero, Assistant Corporate Secretary
- Edgar S. Tordesillas, Sun Life-appointed observer
- Paolo Y. Abaya, GPLH-appointed observer
- Frances Ianna S. Canto, Counsel
- Maria Junifer L. Maliglig, Law Clerk

**SUN LIFE GREPA FINANCIAL, INC.**

**AGENDA FOR THE REGULAR MEETING OF THE  
AUDIT and RISK MANAGEMENT COMMITTEE**

Date : 06 September 2023  
 Duration : 2:40 p.m. – 4:20 p.m.  
 Place : 10<sup>th</sup> Floor, Grepalife Bldg, 221 Sen. Gil J. Puyat Avenue, Makati City

	<b>BUSINESS</b>	<b>RESPONSIBLE PARTY</b>	<b>ACTION REQUIRED</b>	<b>APPENDIX</b>
1	Call to Order	Chairman		
2	Certification of Notice and Quorum	Corporate Secretary	Note	
3	Minutes of the Previous Meeting (06 June 2023)	Chairman	Approve	/
4	Matters Arising from the Previous Meeting: (2)	Chairman	Note	/
5	External Audit Plan for Audit Year 2023	SGV & Co./ Ernst Young	Approve	/
6	Private Meeting of the Non-Executive Members of the Committee and the External Auditor	Chairman		
7	Financial Review Summary (with report on External Auditor's Fees)	Candy S. Esteban	Note	/
8	Report on Controls	Joel O. Bungabong	Note	/
9	Key Risks Update	Ria V. Mercado	Note	/
10	Compliance Report	Mark Shum	Note	/
11	Forward Agenda	Corporate Secretary	Note	/
12	Private Meeting of the Non-Executive Members of the Committee and the Compliance Officer	Chairman	Note	
13	Private Meeting of the Non-Executive Members of the Committee and the Risk Officer	Chairman	Note	
14	Private Meeting Between the Non-Executive Members of the Committee and the Internal Auditor	Chairman	Note	
15	Other Matters, if any	Chairman	Note	
15a	a. Contracting of Non-Audit Services of the External Auditor	Candy S. Esteban	Approve	/
16	Adjournment	Chairman	Approve	

## Distribution List

### Committee Members

- Jose Isidro N. Camacho, Independent Director, Committee Chairman
- Francisco C. Eizmendi, Jr., Independent Director
- Rizalina G. Mantaring, Director

### Attendees:

- Helen Y. Dee, Chairman of the Board
- Richard S. Lim, President
- Michele Marie Y. Dee, Director
- Juan B. Santos, Independent Director
- Ingrid Gail Johnson, Director
- Lorenzo V. Tan, Director
- Benedicto C. Sison, Country Head & CEO, Sun Life Philippines
- Maria Josefina A. Castillo, Chief Operating Officer
- Candy S. Esteban, Treasurer
- SGV & Co. / Ernst & Young, External Auditor
- Ria V. Mercado, Risk Officer
- Mark Shum, AVP, Lead Compliance Transformation & Governance, Sun Life Asia
- Maria Teresa Co, Compliance Officer
- Joel O. Bungabong, Internal Auditor
- Abigail L. Viaje, Chief Actuary
- Gaurav Mishra, Chief Operations & Digital Enterprise Officer
- Pia Angelica Javier, Head, Chief Technology Modernization Officer
- Maria Sachiko A. Pang, Chief Financial Management Officer
- Ivan P. Corcuera, Head of Investments
- Samuel V. Torres, Corporate Secretary
- Anna Katrina C. Kabigting-Ibero, Assistant Corporate Secretary
- Edgar S. Tordesillas, Sun Life-appointed observer
- Paolo P. Macapagal, Deputy General Counsel
- Paolo Y. Abaya, GPLH-appointed observer
- Hope Jan C. Candido, Counsel, Sun Life Philippines



**SUN LIFE GREPA FINANCIAL, INC.**

**AGENDA FOR THE REGULAR MEETING OF THE  
AUDIT and RISK MANAGEMENT COMMITTEE**

Date : 15 December 2023  
Duration : 2:30 p.m. – 4:00 p.m.  
Place : Legazpi Room, 10/F Grepalife Building, 221 Sen. Gil Puyat Avenue, Makati City

	<b>BUSINESS</b>	<b>RESPONSIBLE PARTY</b>	<b>ACTION REQUIRED</b>	<b>APPENDIX</b>
1	Call to Order	Chairman		
2	Certification of Notice and Quorum	Corporate Secretary	Note	
3	Minutes of the Previous Meeting (06 September 2023)	Chairman	Approve	/
4	Matters Arising from the Previous Meeting: (1)	Chairman	Note	/
5	Financial Review Summary	Candy S. Esteban	Note	/
6	Report on Controls	Joel O. Bungabong	Note	/
7	2024 Internal Audit Plan	Joel O. Bungabong	Approve	/
8	Key Risks Update	Ria V. Mercado	Note	/
9	Compliance Report	Maria Teresa A. Co	Note	/
10	Forward Agenda	Corporate Secretary	Approve	/
11	Schedule of Meetings for 2024-2025	Corporate Secretary	Approve	/
12	Private Meeting Between the Committee and the Compliance Officer	Chairman	Note	
13	Private Meeting Between the Committee and the Risk Officer	Chairman	Note	
14	Private Meeting of the Non-Executive Members of the Committee with the Internal Auditor	Chairman	Note	
15	Other Matters, if any	Chairman	Note	
16	Adjournment	Chairman	Approve	

## Distribution List

### Committee Members

- Jose Isidro N. Camacho, Independent Director, Committee Chairman
- Rizalina G. Mantaring, Director
- Peter B. Favila, incoming Independent Director

### Attendees

- Helen Y. Dee, Chairman of the Board
- Richard S. Lim, President
- Michele Marie Y. Dee, Director
- Juan B. Santos, Independent Director
- Ingrid Gail Johnson, Director
- Lorenzo V. Tan, Director
- Benedicto C. Sison, Country Head & CEO, Sun Life Philippines
- Maria Josefina A. Castillo, Chief Operating Officer
- Candy S. Esteban, Treasurer
- Maria Sachiko A. Pang, Chief Financial Management Officer, Sun Life Philippines
- Abigail I. Viaje, Chief Actuary
- Joel O. Bungabong, Internal Auditor
- Maria Teresa A. Co, Compliance Officer
- Ria V. Mercado, Risk Officer
- Ivan P. Corcuera, Head of Investments, Sun Life Philippines
- Gaurav Mishra, Chief Operations & Digital Enterprise Officer
- Pia Angelica Javier, Chief Technology Modernization Officer
- Samuel V. Torres, Corporate Secretary
- Anna Katrina C. Kabigting-Ibero, Assistant Corporate Secretary
- Edgar S. Tordesillas, Sun Life Appointed Observer
- Paolo P. Macapagal, Deputy General Counsel, Sun Life Philippines
- Paolo Y. Abaya, GPLH Appointed Observer
- Hope Jan Candido, Counsel, Sun Life Philippines