

SUN LIFE GREPA FINANCIAL, INC.

**AGENDA FOR THE REGULAR MEETING OF THE AUDIT
and RISK MANAGEMENT COMMITTEE**

Date : 03 March 2022
 Duration : 3:00 p.m. to 4:00 p.m.
 Place : Zoom Video Conference

BUSINESS		RESPONSIBLE PARTY	ACTION REQUIRED	APPEN DIX
1	Call to Order	Chairman		
2	Certification of Notice and Quorum	Corporate Secretary	Note	
3	Minutes of the Previous Meeting (03December 2020 and 06 December 2021)	Chairman	Approve	/
4	Matters Arising from the Previous Meeting (1)	Chairman	Note	/
5	Presentation of the 2021 Audit Results and Management Letter	Ernst & Young	Note	
6	Audited Financial Statements for 2021	Ernst & Young/ Candy S. Esteban	Note	/
7	Private Meeting of the Non-Executive Members of the Committee with the External Auditor	Chairman		
8	Financial Review Summary	Candy S. Esteban	Note	/
9	Report on Control	Joel O. Bungabong	Note	/
10	Private Meeting of the Non-Executive Members of the Committee with the Internal Auditor	Chairman		
11	Forward Agenda	Corporate Secretary	Approve	/
12	Review of Audit Committee Charter	Corporate Secretary	Approve	/
13	Other Matters, if any	Chairman	Note	
13a	Private meeting with the Compliance Officer			
14	Adjournment	Chairman	Approve	

Distribution List

Committee Members

- Jose Isidro N. Camacho, Independent Director, Committee Chairman
- Francisco C. Eizmendi, Jr., Independent Director
- Rizalina G. Mantaring, Director

Attendees

- Helen Y. Dee, Chairman of the Board
- Richard S. Lim, President
- Michele Marie Y. Dee, Director
- Juan B. Santos, Independent Director
- Ingrid Johnson, Director
- Lorenzo V. Tan, Director
- Benedicto C. Sison, CEO & Country Head, Sun Life Philippines
- Candy S. Esteban, Treasurer
- SGV/ Ernst & Young, External Auditor
- Maria Sachiko A. Pang, Chief Actuary
- Abigail L. Viaje , incoming Chief Actuary
- Joel O. Bungabong, Internal Auditor
- Paolo Y. Abaya, GPLH Appointed Observer
- Gaurav Mishra, Chief Operations and Digital Enterprise Officer
- Pia Angelica Javier, Head, IT Account Management and Delivery Support
- Jemilyn S. Camania, Chief Compliance Officer
- Samuel V. Torres, Corporate Secretary
- Anna Katrina C. Kabigting-Ibero, Assistant Corporate Secretary
- Edgar S. Tordesillas, General Counsel, Sun Life Philippines
- Winlove Apple R. Sandalo-Esperanza, Counsel, Sun Life Philippines
- Maria Junifer L. Maliglig, Law Clerk, Sun Life Philippines

SUN LIFE GREPA FINANCIAL, INC.

**AGENDA FOR THE REGULAR MEETING OF THE AUDIT AND
RISK MANAGEMENT COMMITTEE**

Date : 05 May 2022
 Duration : 2:30 p.m. – 3:45 p.m.
 Place : Zoom Video Conference

BUSINESS		RESPONSIBLE PARTY	ACTION REQUIRED	APPEN DIX
1	Call to Order (2:30)	Chairman		
2	Certification of Notice and Quorum (2:31)	Corporate Secretary	Note	
3	Minutes of the Previous Meeting (03 March 2022) (2:31-2:32)	Chairman	Approve	/
4	Matters Arising from the Previous Meeting: (1) (2:32-2:33)	Chairman	Note	/
5	Key Risks Update (2:33-2:53)	Ria V. Mercado	Note	/
6	2022 Key Risks Report (2:54-3:00)	Ria V. Mercado	Note	/
7	Compliance Report (3:01-3:20)	Maria Pia A. Urgello	Note	/
8	2022 Compliance Plan (3:21-3:25)	Maria Pia A. Urgello	Approve	/
9	Private Meeting Between the Committee and the Compliance Officer (3:26-3:30)	Chairman		
10	Private Meeting Between the Committee and the Risk Officer (3:31-3:35)	Chairman		
11	Forward Agenda (3:36)	Corporate Secretary	Note	/
12	Other Matters, if any (3:37)	Chairman	Note	
13	Adjournment (3:38)	Chairman	Approve	

Distribution List

Committee Members

- Jose Isidro N. Camacho, Independent Director, Committee Chairman
- Francisco C. Eizmendi, Jr., Independent Director
- Rizalina G. Mantaring, Director

Attendees

- Helen Y. Dee, Director

- Richard S. Lim, President
- Michele Marie Y. Dee, Director
- Juan B. Santos, Independent Director (Lead)
- Ingrid Gail Johnson, Director
- Lorenzo V. Tan, Director
- Benedicto C. Sison, CEO & Country Head, Sun Life Philippines
- Maria Josefina A. Castillo, Chief Operations Officer
- Candy S. Esteban, Treasurer
- Ria V. Mercado, Risk Officer
- Ma. Jemilyn S. Camania, Compliance Officer
- Maria Pia A. Urgello, Deputy Compliance Officer
- Joel P. Bungabong, Internal Auditor
- Abigail L. Viaje, Chief Actuary
- Gaurav Mishra, Chief Operations & Digital Enterprise Officer
- Pia Angelica Javier, Head, IT Account Management & Delivery Support
- Maria Sachiko A. Pang, Chief Financial Management Officer
- Abigail L. Viaje, Incoming Chief Actuary
- Samuel V. Torres, Corporate Secretary
- Anna Katrina C. Kabigting-Ibero, Assistant Corporate Secretary
- Edgar S. Tordesillas, Sun Life-appointed observer
- Paolo Y. Abaya, GPLH-appointed observer
- Frances Ianna S. Canto, Counsel
- Maria Junifer L. Maliglig, Law Clerk

SUN LIFE GREPA FINANCIAL, INC.

**AGENDA FOR THE REGULAR MEETING OF THE
AUDIT and RISK MANAGEMENT COMMITTEE**

Date : 07 June 2022
 Duration : 2:30 p.m. - 4:00 p.m.
 Place : Zoom Meeting Conference

	BUSINESS	RESPONSIBLE PARTY	ACTION REQUIRED	APPEN DIX
1	Call to Order	Chairman		
2	Certification of Notice and Quorum	Corporate Secretary	Note	
3	Minutes of the Previous Meeting (05 May 2022)	Chairman	Approve	/
4	Matters Arising from the Previous Meeting: None	Chairman	Note	/
5	Financial Review Summary	Candy S. Esteban	Note	/
6	Review of External Audit Relationship	Candy S. Esteban	Approve/ Endorse	
7	Report on Controls	Joel O. Bungabong	Note	/
8	Key Risks Update	Ria V. Mercado	Note	/
9	Compliance Report	Maria Pia A. Urgello	Note	/
10	Private Meeting Between the Committee and the Compliance Officer	Chairman	Note	
11	Private Meeting Between the Committee and the Risk Officer	Chairman	Note	
12	Private Meeting of the Non-Executive Members of the Committee with the Internal Auditor	Chairman	Note	
13	Forward Agenda	Corporate Secretary	Note	/
14	Other Matters, if any	Corporate Secretary	Note	
15	Adjournment	Chairman	Approve	

Distribution List

Committee Members

- Jose Isidro N. Camacho, Independent Director, Committee Chairman
- Francisco C. Eizmendi, Jr., Independent Director
- Rizalina G. Mantaring, Director

Attendees:

- Helen Y. Dee, Chairman and Director
- Richard S. Lim, President
- Michele Marie Y. Dee, Director
- Juan B. Santos, Independent Director (Lead)
- Ingrid Gail Johnson, Director
- Lorenzo V. Tan, Director
- Benedicto C. Sison, CEO & Country Head, Sun Life Philippines
- Maria Josefina A. Castillo, Chief Operating Officer
- Candy S. Esteban, Treasurer
- Ria V. Mercado, Risk Officer
- Ma. Jemilyn S. Camania, Compliance Officer
- Maria Pia A. Urgello, Deputy Compliance Officer
- Joel P. Bungabong, Internal Auditor
- Abigail L. Viaje, Chief Actuary
- Gaurav Mishra, Chief Operations & Digital Enterprise Officer
- Pia Angelica Javier, Head, IT Account Management & Delivery Support
- Maria Sachiko A. Pang, Chief Financial Management Officer
- Ivan P. Corcuera, Head of Investments
- Samuel V. Torres, Corporate Secretary
- Anna Katrina C. Kabigting-Ibero, Assistant Corporate Secretary
- Edgar S. Tordesillas, Sun Life-appointed observer
- Paolo Y. Abaya, GPLH-appointed observer
- Frances Ianna S. Canto, Counsel
- Maria Junifer L. Maliglig, Law Clerk

SUN LIFE GREPA FINANCIAL, INC.

**AGENDA FOR THE REGULAR MEETING OF THE
AUDIT and RISK MANAGEMENT COMMITTEE**

Date : 06 September 2022
 Duration : 2:40 p.m. – 4:20 p.m.
 Place : Zoom Meeting Conference

	BUSINESS	RESPONSIBLE PARTY	ACTION REQUIRED	APPEAL
1	Call to Order	Chairman		
2	Certification of Notice and Quorum	Corporate Secretary	Note	
3	Minutes of the Previous Meeting (07 June 2022)	Chairman	Approve	/
4	Matters Arising from the Previous Meeting: (1)	Chairman	Note	/
5	External Audit Plan for Audit Year 2022	SGV & Co./ Ernst Young	Approve	/
6	Private Meeting of the Non-Executive Members of the Committee with the External Auditor	Chairman		
7	Financial Review Summary	Candy S. Esteban	Note	/
8	Report on Controls	Joel O. Bungabong	Note	/
9	Key Risks Update	Ria V. Mercado	Note	/
10	Compliance Report	Ma. Pia A. Urgello	Note	/
11	Private Meeting Between the Committee and the Compliance Officer	Chairman	Note	
12	Private Meeting Between the Committee and the Risk Officer	Chairman	Note	
13	Private Meeting of the Non-Executive Members of the Committee with the Internal Auditor	Chairman	Note	
14	Forward Agenda	Corporate Secretary	Approve	/
15	Other Matters, if any	Corporate Secretary	Note	
16	Adjournment	Chairman	Approve	

Distribution List

Committee Members

- Jose Isidro N. Camacho, Independent Director, Committee Chairman
- Francisco C. Eizmendi, Jr., Independent Director
- Rizalina G. Mantaring, Director

Attendees:

- Helen Y. Dee, Chairman and Director
- Richard S. Lim, President
- Michele Marie Y. Dee, Director
- Juan B. Santos, Independent Director (Lead)
- Ingrid Gail Johnson, Director
- Lorenzo V. Tan, Director
- Benedicto C. Sison, CEO & Country Head, Sun Life Philippines
- Maria Josefina A. Castillo, Chief Operating Officer
- Candy S. Esteban, Treasurer
- SGV & Co. / Ernst & Young, External Auditor
- Ria V. Mercado, Risk Officer
- Ma. Jemilyn S. Camania, Compliance Officer
- Maria Pia A. Urgello, Deputy Compliance Officer
- Joel P. Bungabong, Internal Auditor
- Abigail L. Viaje, Chief Actuary
- Gaurav Mishra, Chief Operations & Digital Enterprise Officer
- Pia Angelica Javier, Chief Technology Modernization Officer
- Maria Sachiko A. Pang, Chief Financial Management Officer
- Ivan P. Corcuera, Head of Investments
- Samuel V. Torres, Corporate Secretary
- Anna Katrina C. Kabigting-Ibero, Assistant Corporate Secretary
- Edgar S. Tordesillas, Sun Life-appointed observer
- Paolo Y. Abaya, GPLH-appointed observer
- Hope Jan Candido, Counsel
- Maria Junifer L. Maliglig, Law Clerk

SUN LIFE GREPA FINANCIAL, INC.

**AGENDA FOR THE REGULAR MEETING OF THE
AUDIT and RISK MANAGEMENT COMMITTEE**

Date : 06 December 2022
 Duration : 2:30 p.m. – 4:00 p.m.
 Place : Zoom Meeting Conference

	BUSINESS	RESPONSIBLE PARTY	ACTION REQUIRED	APPEN DIX
1	Call to Order	Chairman		
2	Certification of Notice and Quorum	Corporate Secretary	Note	
3	Minutes of the Previous Meeting (06 September 2022)	Chairman	Approve	/
4	Matters Arising from the Previous Meeting (3)	Chairman	Note	/
5	Financial Review Summary	Candy S. Esteban	Note	/
6	Report on Controls	Joel O. Bungabong	Note	/
7	Internal Audit Plan	Joel O. Bungabong	Approve	/
8	Key Risks Update	Ria V. Mercado	Note	/
9	Compliance Report	Maria Pia A. Urgello	Note	/
10	Private Meeting Between the Committee and the Compliance Officer	Chairman	Note	
11	Private Meeting Between the Committee and the Risk Officer	Chairman	Note	
12	Private Meeting of the Non-Executive Members of the Committee with the Internal Auditor	Chairman	Note	
13	Forward Agenda	Corporate Secretary	Note	/
14	Schedule of Meetings for 2023-2024	Corporate Secretary	Approve	/
15	Other Matters, if any	Chairman	Note	
15a	a. Security and Risk Update Report	Abhay Raman	Note	/
16	Adjournment	Chairman	Approve	

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-

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- Ingrid Gail Johnson, Director
- Lorenzo V. Tan, Director
- Benedicto C. Sison, CEO & Country Head, Sun Life Philippines
- Maria Josefina A. Castillo,
- Candy S. Esteban, Treasurer
- Maria Sachiko A. Pang, Chief Financial Management Officer, Sun Life Philippines
- Abigail I. Viaje, Chief Actuary
- Joel O. Bungabong, Internal Auditor
- Ma. Jemilyn S. Camania, Compliance Officer
- Maria Pia A. Urgello, Deputy Compliance Officer
- Ria V. Mercado, Risk Officer
- Ivan P. Corcuera, Head of Investments, Sun Life Philippines
- Gaurav Mishra, Chief Operations & Digital Enterprise Officer
- Abhay Raman, Senior Vice President and Chief Security Officer, SLF Inc.
- Samuel V. Torres, Corporate Secretary
- Anna Katrina C. Kabigting-Ibero, Assistant Corporate Secretary
- Edgar S. Tordesillas, Sun Life Appointed Observer
- Paolo Y. Abaya, GPLH Appointed Observer
- Hope Jan Candido, Counsel, Sun Life Philippines
- Maria Junifer L. Maliglig, Law Clerk, Sun Life Philippines