

**SUN LIFE GREPA FINANCIAL, INC.**

**AGENDA FOR THE REGULAR MEETING OF THE AUDIT COMMITTEE**

Date : 10 March 2021  
 Duration : 3:00 p.m. to 4:00 p.m.  
 Place : Zoom Video Conference

BUSINESS		RESPONSIBLE PARTY	ACTION REQUIRED	APPEN DIX
1	Call to Order	Chairman		
2	Certification of Notice and Quorum	Corporate Secretary	Note	
3	Minutes of the Previous Meeting (03 December 2020)	Chairman	Approve	/
4	Matters Arising from the Previous Meeting (3)	Chairman	Note	/
5	Presentation of the 2020 Audit Results and Management Letter	Ernst & Young	Note	
6	Audited Financial Statements for 2020	Ernst & Young/ Maria Josefina A. Castillo	Approve	/
6a	a. Impact of CREATE Bill on 2020 Financial Statements	Maria Josefina A. Castillo	Note	
7	Private Meeting of the Non-Executive Members of the Committee with the External Auditor	Chairman		
8	Financial Review Summary	Maria Josefina A. Castillo	Note	/
9	Report on Control	Joel O. Bungabong	Note	/
10	Private Meeting of the Non-Executive Members of the Committee with the Internal Auditor	Chairman		
11	Forward Agenda	Corporate Secretary	Approve	/
12	Review of Audit Committee Charter	Corporate Secretary	Approve	/
13	Other Matters, if any	Chairman	Note	
14	Adjournment	Chairman	Approve	

## Distribution List

### Committee Members

- Jose Isidro N. Camacho, Independent Director, Committee Chairman
- Francisco C. Eizmendi, Jr., Independent Director
- Rizalina G. Mantaring, Director

### Attendees

- Helen Y. Dee, Chairman of the Board
- Richard S. Lim, President
- Michele Marie Y. Dee, Director
- Juan B. Santos, Independent Director
- Leo M. Grepin, Director
- Lorenzo V. Tan, Director
- Benedicto C. Sison, CEO & Country Head, Sun Life Philippines
- Maria Josefina A. Castillo, Treasurer
- SGV/ Ernst & Young, External Auditor
- Maria Sachiko A. Pang, Chief Actuary
- Joel O. Bungabong, Internal Auditor
- Paolo Y. Abaya, GPLH Appointed Observer
- Samuel V. Torres, Corporate Secretary
- Anna Katrina C. Kabigting-Ibero, Assistant Corporate Secretary
- Edgar S. Tordesillas, General Counsel, Sun Life Philippines
- Winlove Grapes R. Sandalo-Esperanza, Counsel, Sun Life Philippines
- Maria Junifer L. Maliglig, Law Clerk, Sun Life Philippines

**SUN LIFE GREPA FINANCIAL, INC.**

**AGENDA FOR THE REGULAR MEETING OF THE AUDIT AND RISK MANAGEMENT COMMITTEE**

Date : 04 May 2021  
 Duration : 2:30 p.m. – 3:30 p.m.  
 Place : Zoom Video Conference

BUSINESS		RESPONSIBLE PARTY	ACTION REQUIRED	APPENDIX
1	Call to Order	Chairman		
2	Certification of Notice and Quorum	Corporate Secretary	Note	
3	Minutes of the Previous Meeting (10 March 2021)	Chairman	Approve	/
4	Matters Arising from the Previous Meeting: None	Chairman	Note	
5	Key Risks Update	Ria V. Mercado	Note	/
6	2021 Key Risks Report	Ria V. Mercado	Note	/
7	Compliance Report	Maria Pia A. Urgello	Note	/
8	2021 Compliance Plan	Maria Pia A. Urgello	Approve	/
9	Private Meeting Between the Committee and the Compliance Officer	Chairman		
10	Private Meeting Between the Committee and the Risk Officer	Chairman		
11	Forward Agenda	Corporate Secretary	Note	/
12	Other Matters, if any	Chairman	Note	
12a	a. Risk Management Framework	Ria V. Mercado	Approve/ Endorse	/
13	Adjournment	Chairman	Approve	

**Distribution List**

**Committee Members**

- Jose Isidro N. Camacho, Independent Director, Committee Chairman
- Francisco C. Eizmendi, Jr., Independent Director
- Rizalina G. Mantaring, Director

**Attendees**

- Helen Y. Dee, Director

- Richard S. Lim, President
- Michele Marie Y. Dee, Director
- Juan B. Santos, Independent Director
- Leo M. Grepin, Director
- Lorenzo V. Tan, Director
- Benedicto C. Sison, CEO & Country Head, Sun Life Philippines
- Maria Josefina A. Castillo, Treasurer
- Ria V. Mercado, Risk Officer
- Ma. Jemilyn S. Camania, Compliance Officer
- Maria Pia A. Urgello, Deputy Compliance Officer
- Joel P. Bungabong, Internal Auditor
- Samuel V. Torres, Corporate Secretary
- Anna Katrina C. Kabigting-Ibero, Assistant Corporate Secretary
- Edgar S. Tordesillas, Sun Life-appointed observer
- Paolo Y. Abaya, GPLH-appointed observer
- Winlove Apple R. Sandalo - Esperanza, Counsel
- Maria Junifer L. Maliglig, Law Clerk

**SUN LIFE GREPA FINANCIAL, INC.**

**AGENDA FOR THE REGULAR MEETING OF THE AUDIT  
and RISK MANAGEMENT COMMITTEE**

Date : 03 June 2021  
 Duration : 2:00 p.m. – 3:30 p.m.  
 Place : Zoom Meeting Conference

	BUSINESS	RESPONSIBLE PARTY	ACTION REQUIRED	APPEN DIX
1	Call to Order	Chairman		
2	Certification of Notice and Quorum	Corporate Secretary	Note	
3	Minutes of the Previous Meeting (04 May 2021)	Chairman	Approve	/
4	Matters Arising from the Previous Meeting: (1)	Chairman	Note	/
5	Private Meeting: Update on SLGFI Special Board Meeting held on 24 May 2021	Richard S. Lim	Note	/
6	Financial Review Summary	Maria Josefina A. Castillo	Note	/
7	Review of External Audit Relationship	Maria Josefina A. Castillo	Note	
8	Report on Controls	Joel O. Bungabong	Note	/
9	Key Risks Update	Ria V. Mercado	Note	/
10	Compliance Report	Ma. Jemilyn S. Camania	Note	/
11	Private Meeting Between the Committee and the Compliance Officer	Chairman	Note	
12	Private Meeting Between the Committee and the Risk Officer	Chairman	Note	
13	Private Meeting of the Non-Executive Members of the Committee with the Internal Auditor	Chairman	Note	
14	Forward Agenda	Corporate Secretary	Approve	/
15	Other Matters, if any	Corporate Secretary	Note	
16	Adjournment	Chairman	Approve	

## Distribution List

### Committee Members

- Jose Isidro N. Camacho, Independent Director, Committee Chairman
- Francisco C. Eizmendi, Jr., Independent Director
- Rizalina G. Mantaring, Director
- 

### Attendees

- Helen Y. Dee, Chairman of the Board
- Richard S. Lim, President
- Michele Marie Y. Dee, Director
- Juan B. Santos, Independent Director
- Leo M. Grepin, Director
- Lorenzo V. Tan, Director
- Benedicto C. Sison, CEO & Country Head, Sun Life Philippines
- Maria Josefina A. Castillo, Treasurer
- Maria Sachiko A. Pang, Chief Actuary
- Joel O. Bungabong, Internal Auditor
- Ma. Jemilyn S. Camania, Compliance Officer
- Ria V. Mercado, Risk Officer
- Teodoro S. Pineda, Jr. Chief Technology Officer, Sun Life Philippines
- Samuel V. Torres, Corporate Secretary
- Anna Katrina C. Kabigting-Ibero, Assistant Corporate Secretary
- Edgar S. Tordesillas, Sun Life Appointed Observer
- Paolo Y. Abaya, GPLH Appointed Observer
- Winlove Grapes R. Sandalo-Esperanza, Counsel, Sun Life Philippines
- Maria Junifer L. Maliglig, Law Clerk, Sun Life Philippines

During the Private Meeting (SLGFI updates) only

- Ashish Chand, Head of IT, Sun Life Asia
- Gaurav Mishra, Chief Operations and Digital Enterprise Officer, Sun Life Philippines
- Gilbert O. Simpao, Chief Marketing and Client Experience Officer, Sun Life Philippines

**SUN LIFE GREPA FINANCIAL, INC.**

**AGENDA FOR THE REGULAR MEETING OF THE  
AUDIT and RISK MANAGEMENT COMMITTEE**

Date : 08 September 2021  
 Duration : 3:00 p.m. – 5:00 p.m.  
 Place : Zoom Meeting Conference

	<b>BUSINESS</b>	<b>RESPONSIBLE PARTY</b>	<b>ACTION REQUIRED</b>	<b>APPEN DIX</b>
1	Call to Order	Chairman		
2	Certification of Notice and Quorum	Corporate Secretary	Note	
3	Minutes of the Previous Meeting (03 June 2021)	Chairman	Approve	/
4	Matters Arising from the Previous Meeting (2)	Chairman	Note	/
5	External Audit Plan for Audit Year 2021	SGV & Co./ Ernst & Young	Approve	/
6	Private Meeting of the Non-Executive Members of the Committee with the External Auditor	Chairman		
7	Financial Review Summary	Maria Josefina A. Castillo	Note	/
8	Report on Controls	Joel O. Bungabong	Note	/
9	Key Risks Update	Ria V. Mercado	Note	/
10	Compliance Report	Ma. Jemilyn S. Camania	Note	/
11	Private Meeting Between the Committee and the Compliance Officer	Chairman	Note	
12	Private Meeting Between the Committee and the Risk Officer	Chairman	Note	
13	Private Meeting of the Non-Executive Members of the Committee with the Internal Auditor	Chairman	Note	
14	Forward Agenda	Corporate Secretary	Approve	/
15	Other Matters, if any	Corporate Secretary	Note	
16	Adjournment	Chairman	Approve	



## Distribution List

### Committee Members

- Jose Isidro N. Camacho, Independent Director, Committee Chairman
- Francisco C. Eizmendi, Jr., Independent Director
- Rizalina G. Mantaring, Director
- 

### Attendees

- Helen Y. Dee, Chairman of the Board
- Richard S. Lim, President
- Michele Marie Y. Dee, Director
- Juan B. Santos, Independent Director
- Leo M. Grepin, Director
- Lorenzo V. Tan, Director
- Benedicto C. Sison, CEO & Country Head, Sun Life Philippines
- Maria Josefina A. Castillo, Treasurer
- SGV/ Ernst & Young, External Auditor
- Maria Sachiko A. Pang, Chief Actuary
- Joel O. Bungabong, Internal Auditor
- Ma. Jemilyn S. Camania, Compliance Officer
- Ria V. Mercado, Risk Officer
- Ivan P. Corcuera, Head of Investments, Sun Life Philippines
- Michael Gerard D. Enriquez, Chief Investment Officer, SLIMTC
- Teodoro S. Pineda, Jr. Chief Technology Officer, Sun Life Philippines
- Samuel V. Torres, Corporate Secretary
- Anna Katrina C. Kabigting-Ibero, Assistant Corporate Secretary
- Edgar S. Tordesillas, Sun Life Appointed Observer
- Paolo Y. Abaya, GPLH Appointed Observer
- Winlove Grapes R. Sandalo-Esperanza, Counsel, Sun Life Philippines
- Maria Junifer L. Maliglig, Law Clerk, Sun Life Philippines



**SUN LIFE GREPA FINANCIAL, INC.**

**AGENDA FOR THE REGULAR MEETING OF THE  
AUDIT and RISK MANAGEMENT COMMITTEE**

Date : 06 December 2021  
 Duration : 3:00 p.m. – 5:00 p.m.  
 Place : Zoom Meeting Conference

	<b>BUSINESS</b>	<b>RESPONSIBLE PARTY</b>	<b>ACTION REQUIRED</b>	<b>APPEN DIX</b>
1	Call to Order	Chairman		
2	Certification of Notice and Quorum	Corporate Secretary	Note	
3	Minutes of the Previous Meeting (08 September 2021)	Chairman	Approve	/
4	Matters Arising from the Previous Meeting (2)	Chairman	Note	/
5	Financial Review Summary	Candy S. Esteban	Note	/
6	Report on Controls	Joel O. Bungabong	Note	/
7	Internal Audit Plan	Joel O. Bungabong	Approve	/
8	Key Risks Update	Ria V. Mercado	Note	/
9	Compliance Report	Maria Pia A. Urgello	Note	/
10	Private Meeting Between the Committee and the Compliance Officer	Chairman	Note	
11	Private Meeting Between the Committee and the Risk Officer	Chairman	Note	
12	Private Meeting of the Non-Executive Members of the Committee with the Internal Auditor	Chairman	Note	
13	Forward Agenda	Corporate Secretary	Note	/
14	Schedule of Meetings for 2022-2023	Corporate Secretary	Approve	/
15	Other Matters, if any	Corporate Secretary	Note	
16	Adjournment	Chairman	Approve	

## Distribution List

### Committee Members

- Jose Isidro N. Camacho, Independent Director, Committee Chairman
- Francisco C. Eizmendi, Jr., Independent Director
- Rizalina G. Mantaring, Director
- 

### Attendees

- Helen Y. Dee, Chairman of the Board
- Richard S. Lim, President
- Michele Marie Y. Dee, Director
- Juan B. Santos, Independent Director
- Leo M. Grepin, outgoing Director
- Ingrid Johnson, incoming Director
- Lorenzo V. Tan, Director
- Benedicto C. Sison, CEO & Country Head, Sun Life Philippines
- Maria Josefina A. Castillo, outgoing Treasurer
- Candy S. Esteban, incoming Treasurer
- Maria Sachiko A. Pang, Chief Actuary
- Joel O. Bungabong, Internal Auditor
- Ma. Jemilyn S. Camania, Compliance Officer
- Maria Pia A. Urgello, Deputy Compliance Officer
- Ria V. Mercado, Risk Officer
- Ivan P. Corcuera, OIC, Head of Investments, Sun Life Philippines
- Michael Gerard D. Enriquez, President and Chief Investment Officer, SLIMTC
- Gaurav Mishra, Chief Operations & Digital Enterprise Officer
- Abigail I. Viaje, Sun Life Observer
- Samuel V. Torres, Corporate Secretary
- Anna Katrina C. Kabigting-Ibero, Assistant Corporate Secretary
- Edgar S. Tordesillas, Sun Life Appointed Observer
- Paolo Y. Abaya, GPLH Appointed Observer
- Winlove Grapes R. Sandalo-Esperanza, Counsel, Sun Life Philippines
- Maria Junifer L. Maliglig, Law Clerk, Sun Life Philippines